

241 2625  
75

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ellis et al.  
Appl. No. : 09/879,987  
Filed : 6/14/2001  
Title : APPARATUSES AND METHODS FOR SURGICAL NAVIGATION

Grp./A.U. : 2625  
Examiner : Barry Choobin

Docket No.: 13702

Honorable Commissioner of Patents  
Alexandria, VA 22313-1450  
Sir:

RECEIVED

OCT 22 2004

Technology Center 2600

PTO CUSTOMER NO. 000293

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(c)

In accordance with 37 C.F.R., §§ 1.97-1.99, applicant submits the following information which may be of interest to the examiner in charge of the above referenced application for patent. Copies of the references listed on the attached form are enclosed.

This Information Disclosure Statement is being filed after the issuance of an Initial Office Action but prior to the issuance of a Final Office Action or the Notice of Allowance. Therefore, also being submitted herewith is the required government fee under 1.17(p).

Respectfully submitted,

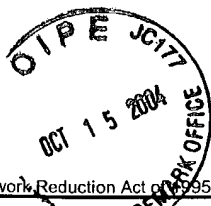
DOWELL & DOWELL, P. C.

Ralph A. Dowell, Reg. No. 26,868

Date: October 15, 2004

DOWELL & DOWELL, P.C.  
Suite 406, 2111 Eisenhower Avenue  
Alexandria, VA 22314  
Telephone: (703) 415-2555

10/18/2004 SSESHEI 00000024 09879987 180.00 DP  
01 FC:1806



PTO/SB/08B (08-03)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	09/879,987
		Filing Date	6/14/2001
		First Named Inventor	ELLIS, Randy
		Art Unit	2625
		Examiner Name	CHOOBIN, Barry
Sheet 1	of 1	Attorney Docket Number	13702

**RECEIVED**  
OCT 22 2004  
Technology Center 2600

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		CROWL, ADAM C. et al, Closed Reduction and Percutaneous Fixation of Anterior Column Acetabular Fractures, Computer Aided Surgery, 2002, 7:169-178, Wiley InterScience, online	
		SUHM, N., Surgical Navigation Based on Fluoroscopy - Clinical Application for Computer-Assisted Distal Locking of Intramedullary Implants, Computer Aided Surgery, 2000, 5:391-400, Wiley-Liss, Inc.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.